

Rec'd PCT/PTO 16 MAY 2005

10/531385

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Franz GRUS et al. : **Mail Stop: PCT**  
Serial No. 10/531,385 : Attorney Docket No. 2005-0532A  
Filed April 15, 2005 :

DIAGNOSIS OF GLAUCOMA BY  
COMPLEX AUTOANTIBODY  
REPERTOIRES IN BODY FLUIDS :  
[Corresponding to PCT/IB2003/004597  
Filed October 16, 2003]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

SUBMISSION OF REFERENCES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants are submitting herewith copies of the eight references cited on the PTO-1449 form attached to Applicants' Information Disclosure Statement filed April 15, 2005.

Respectfully submitted,

Franz GRUS et al.

By:

  
Michael R. Davis

Registration No. 25,134  
Attorney for Applicants

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May 16, 2005

FORM PTO 1390  
(REV 5-97)US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

2005-0532A

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371

10/531385

U.S. APPLICATION NO.

(if known, see 37 CFR 1.5)

10/531,385

International Application No.  
PCT/IB2003/004597International Filing Date  
October 16, 2003Priority Date Claimed  
October 18, 2002

## Title of Invention

DIAGNOSIS OF GLAUCOMA BY COMPLEX AUTOANTIBODY REPERTOIRES IN BODY FLUIDS

## Applicant(s) For DO/EO/US

Franz GRUS, Stephanie JOACHIM and Norbert PFEIFFER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

## Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information: Submission of References cover letter with copies of International Search Report and 8 references and Confirmation of Inventors.

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  
10/531,385

INTERNATIONAL APPLICATION NO.  
PCT/IB2003/004597

ATTORNEY'S DOCKET NO.  
2005-0532A

15. ☒ The following fees are submitted

CALCULATIONS

PTO USE ONLY

Basic National Stage Fee ..... \$300.00  
National Stage Search Fee. .... \$500.00  
National Stage Examination Fee. .... \$200.00  
Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = ..... \$

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$50.00	\$	
Independent Claims	- 3 =		X \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	

TOTAL OF ABOVE CALCULATIONS =

\$130.00

☒ Small Entity Status has been asserted. Above fees are reduced by 1/2.

\$65.00

SUBTOTAL =

\$65.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$105.00

05/20/2005 MKAYPAGH 00000096 10531385

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65.00 OP

Amount to be refunded

\$

Amount to be charged

\$

a. ☒ A check in the amount of \$105.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.  
000513

By

  
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May 16, 2005

[CHECK NO. 68228]

[2005-0532A]